

Pre-Analysis Plan - Testing the effectiveness of Quality-Based WhatsApp Messaging on Vaccine Hesitancy and Childhood Immunization in a National Maternal Messaging Program (MomConnect)

IDinsight

August 22, 2022

NCT Number: NCT05505760

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Acronyms

ARM	Appointment reminder model
BCM	Browsable content model
RCM	Relevant content model
ICT	Information and Communication Technologies
MIS	Management Information Systems
PAP	Pre-Analysis Plan
RCT	Randomized Control Trial
TOC	Theory of Change
WA	WhatsApp

INTRODUCTION

OBJECTIVE

The enclosed Pre-Analysis Plan (PAP) outlines the objectives, methods, and analytical framework for the IDinsight evaluation of the effectiveness of Quality-Based WhatsApp Messaging on Vaccine Hesitancy and Childhood Immunization in a National Maternal Messaging Program (MomConnect).

AUDIENCE

This PAP is meant for distribution with stakeholders to the MomConnect program, and will be registered with the Registry for International Development Impact Evaluation.

The preceding deliverable, 'Research Protocol -Testing the effectiveness of Quality-Based WhatsApp Messaging on Vaccine Hesitancy and Childhood Immunization in a National Maternal Messaging Program (MomConnect)' discusses in detail our objectives, research questions, study design, and sampling methods. We review these in brief in the PAP, then center on the technical execution of our analysis, such as indicator lists and impact estimators.

For reference, a summary of the program and our Theory of Change (ToC) are provided in **Appendix A**.

BACKGROUND & MOTIVATION

The case for mobile health (mHealth) has been gaining traction as a source to improve health outcomes of individuals. mHealth refers to the use of Information and Communication Technologies (ICT) to support health care (Lee et al. 2016). The ubiquity and penetration of mobile phones presents the opportunity to deliver health care services directly to citizens, with the greatest potential gains in under-resourced health ecosystems. Of what evidence does exist, literature reviews independently identify a wide range of instances where maternal health messaging is effective (Lee et al. 2015; Poorman et al. 2014). A recent small scale randomized control trial (RCT) of 177 mothers in one South African city found that enrolment in a maternal messaging program resulted in higher odds of administering all first-year child vaccinations and attending antenatal and postnatal clinic appointments (Coleman et al. 2020). Despite the widespread adoption of mHealth interventions, there has been relatively little research on their impact on maternal and infant health at a large scale in developing countries (Lee et al. 2015).

Operating for 7 years and currently supporting 1.28 million mothers through their pregnancies and early childhood care, MomConnect represents one of the largest maternal health messaging platforms in the world (Jahan et al. 2020). Implemented at the national level, MomConnect has been credited with being the first national-scale mHealth program of its kind and has won numerous international awards. While achieving some large starting successes, there is still scope for improving MomConnect's impact for mothers and their children (Mehl et al. 2018).

As a WhatsApp-delivered service, MomConnect has been limited by WhatsApp's historical terms of service. These limitations involve both the frequency and content of messages that the service can send mothers to start a conversation (i.e. "push messages"). In its current form, MomConnect can

only start a conversation with mothers once a week by alerting them of their upcoming antenatal care (ANC) appointment. From these initial conversation starters, mothers can then engage with the platform by responding to the push message to learn more information relative to their stage of pregnancy or postpartum. MomConnect program aligns with the National Department of Health's National Development Plan: Vision 2030 third goal of reducing maternal and infant mortality. As MomConnect's content is approved by the National Department of Health (NDOH), it avoids women needing to navigate complicated, contradictory, or unverified information available on the internet. Recent changes to WhatsApp's terms of service have removed the restriction around push messages for certain programs, including MomConnect. Messages have historically been restricted to weekly conversation starters and carry only generic administrative reminder-style information. As a result, it is possible that many mothers are failing to engage with the content as much as they could. If mothers were more engaged, it could greatly increase exposure and knowledge of reducing the total number of mothers exposed to critical maternal and infant health content. With the updated terms of service, there is an opportunity to test different ways of engaging mothers.

There have been some studies of the early model of MomConnect content to measure its effects on health outcomes (Jahan et al. 2020; LeFevre et al. 2018; Mehl et al. 2018; Xiong et al. 2018; Skinner et al. 2017). However, these studies were largely qualitative, at smaller scales, and focused more on user satisfaction of MomConnect. These studies also preceded the changes in WhatsApp's terms of services. As such, more evidence is needed to rigorously evaluate the impacts of MomConnect on maternal and child health outcomes. **This study proposes to evaluate, through a randomized control trial (RCT), the relative effect of four new behaviorally-informed program models on key knowledge of and adoption of healthy behaviors.**

EVALUATION

INTERVENTION

The randomized control trial will consist of one control arm and four new treatment arms. This study will evaluate the relative effect of four new behaviorally-informed program models on key health outcomes. The table below summarizes the different models (treatment arms) proposed in this study:

Table 1: Treatment Arms

Name	Form	Description
ARM (Appointment reminder model)	WhatsApp	This is the current MomConnect WhatsApp model (control). Mothers receive weekly conversation starter messages reminding them about their upcoming clinic appointments, providing more comprehensive and relevant maternal health information only after mothers respond to the appointment reminder.
RCM (Relevant content model)	WhatsApp	Mothers receive weekly conversation starter messages, which carry both clinic appointment reminders along with some maternal and infant health information relevant to their pregnancy/postpartum stage . In addition, a list of “frequently asked questions” (FAQs) relevant to the week of pregnancy the mother is in are provided so that mothers can engage further with maternal health information topics relevant to them.
	SMS	Mothers receive twice weekly conversation starter messages of 160 characters each, which carry both clinic appointment reminders as well as maternal and infant health information , relevant to their stage of pregnancy or the age of their baby. Mothers can access the list of frequently asked questions relevant to their week of pregnancy via USSD.
BCM (Browsable content model)	WhatsApp	Mothers receive weekly conversation starter messages, which carry clinic appointment reminders and maternal health information, as well as the option to browse a menu of relevant maternal and infant health information that mothers can explore themselves over WhatsApp.
RCM + BCM	WhatsApp	This is a combination of the Relevant Content and Browsable Content Models on WhatsApp (RCM+BCM) , including appointment reminders, clinical information, a browsable menu and prompts to relevant stage-based topics. Mothers receive weekly conversation starter messages.

RESEARCH QUESTIONS

The table below highlights the key research questions that the study aims to answer.

Table 2: Research Questions

Category	Research Question	Decision Relevance
Primary Research Questions		
Health Outcomes	Which version of MomConnect improves key maternal and infant health outcomes?	Expansion of the most impactful MomConnect treatment arm across South Africa
Secondary Research Questions		
Message Delivery	Are maternal and infant health outcomes better if MomConnect is administered over SMS as compared to WhatsApp for the RCM model?	Decision on standardizing the RCM model in SMS or WhatsApp format
Directed Attention	Which aspects of the content models do women engage with the most?	Improved MomConnect message format
Perceived reliability	Which health-related topics do pregnant women find most reliable/trustworthy?	Improved MomConnect message content
Socio-economic characteristics	Which socio-economic characteristics are correlated with higher/lower effectiveness of MomConnect?	Adjusting content for groups for which MomConnect is less effective

STUDY DESIGN

The impact of MomConnect's models will be evaluated using a randomized controlled trial (RCT). Randomization will be at the individual level, where an individual refers to the "unique user," which is defined by the unique phone number used at the time of registration to MomConnect at health facilities. Randomizing control and treatment allocations ensures that the study groups will be comparable in terms of observable and unobservable characteristics in expectation. Therefore, statistical inference can shed light on the likelihood that any differences in outcome variables at the end of the intervention were caused by the intervention as compared to chance. We will also study the treatment effects on different outcomes between the different arms of the experiment - comparing outcomes across different treatment arms or outcomes in the control to outcomes in treatment arms will help us answer the aforementioned research questions.

STUDY POPULATION & INCLUSION CRITERIA

This study will recruit pregnant women as per the requirements listed in the table below:

Table 3: Inclusion Criteria

Inclusion Criteria	Justification
Pregnant women who visit health facilities and are Between their 16th-30th week of pregnancy	The relevant content has been developed for the 16th week of pregnancy and beyond. Due to timeline and sample size considerations, the last date of eligibility will be of women in their 30th week of pregnancy. Additionally, this will ensure that respondents have used the platform for a time frame long enough to have experienced an adequate dosage of the treatment effects.
Pregnant women with WhatsApp capability	Given that we do not want users in the SMS arm to be different from those in the WhatsApp arm, differences between control and treatment arms are mitigated under this criterion. We recognize that this will exclude a proportion of the population that do not own smartphones/cannot access WhatsApp and our results will only be representative of smart-phone-owning women whose phones have WhatsApp capabilities.
Pregnant women above 18 years	Consent needs to be received from an adult. Despite underage pregnancy being an issue in South Africa more broadly and even though MomConnect does not discriminate by age, underage pregnant mothers make up only 2% of their users. We feel as though excluding minors from the sample would not deter the sample from being representative.

RECRUITMENT & RANDOMIZATION PROCEDURE

Pregnant women presenting at public health facilities across South Africa in the status-quo are asked by nurses, in accordance with national health guidelines, if they would like to join the MomConnect platform. This process has successfully registered 60% of pregnant women across the country (Benjamin et al. 2018). The recruitment process for this study will follow the same procedure and is unchanged by the study.

Women will register for MomConnect at a health facility by filling out a USSD recruitment survey. The survey asks specific questions about each pregnant woman. From the recruitment survey, we will learn how many weeks pregnant mothers are, how old mothers are, and which province they are from. Mothers will then be sorted into different categories (one of three categories for weeks pregnant: 16-20 weeks, 21-25 weeks, 26-30 weeks; one of two categories for age: 30 and under, over 31; and one of nine categories for province). Next, mothers will be sorted into one of 54 strata according to their unique combination of weeks pregnant, age, and province categories. Because recruitment is occurring on a rolling basis, we use sequential randomization within strata to improve balance within strata, across study arms. Mothers will then be assigned one of the five messaging arms according to an ex-ante random schedule *within each stratum*. In this way, mothers representing different combinations of age, weeks pregnant, and province will be equally divided across study arms.

Mothers will then be asked if they would like to take part in a research study to improve the MomConnect service and will be sent a consent message. Afterwards, they will receive a welcome message confirmation based on their randomized assignment. Women will be administered the

baseline survey via WhatsApp (or USSD for SMS arm) and will start to receive content based on which of the five study arm message models they were randomly assigned to. Women who do not give consent to be part of the study will receive the status-quo WhatsApp MomConnect service (equivalent to the Control arm). There will be an equal chance of being assigned each arm. In this way, randomization will happen on the spot (ie, as mothers are registering for MomConnect and agreeing to take part in the study). Please see the informed consent section for more details.

SAMPLE SIZE

Since we are comparing different versions of MomConnect, it is important that the experiment is well-powered with sufficient sample size to detect reasonably small differences between treatment arms. A sample size of 6,000 participants enables the experiment to have 80% power to detect a reasonably small effect size of a 5.3 percentage point difference in binary outcomes between individual treatment arms.¹

The table below outlines a summary of the power calculations and the sample sizes for the study:

Table 4: Sample Sizes

Sample Sizes	
Per control arm (1 arm) at Endline	1200
Per treatment arm (4 arms) at Endline	1200
Total Sample Size Overall (expected at endline)	6000
Number of MomConnect users to enroll in the study	10,000
Minimum detectable effect size between different treatment arms	5.3 percentage points
Assumptions	
There are around 21,000 mothers who will register for MomConnect while 16-30 weeks pregnant during the study enrollment period. This is based off of MomConnect data from January 2022.	
Based on previous studies conducted by Praekelt on MomConnect, we are assuming that 60% of mothers who register on the platform will enroll in our study.	
Of these, we expect ~50% response rate for our endline survey, leading to a total sample size at endline of n=6,000.	

¹ The original power calculations described here were based on a binary outcome variable. Since our primary outcome variables for immunisations and ANC visits will be continuous, we re-did the power calculations for the comparison of two means of a continuous variable. Under the same assumptions as above, our minimum detectable effect size for a comparison of two means is 0.11 standard deviations.

We are assuming a conservative control group estimate of 60% for full infant vaccination by 8 weeks after birth. According to DHS estimates in 2016, the various immunizations range from 65-85%, but we know COVID-19 has affected routine immunizations, so we have used a conservative estimate (conservative in the sense that standard deviation of the outcome variable is higher when assuming 60% compared to assuming a higher proportion).

Parameters

Alpha: the level of statistical significance	0.05 This is the research standard
Power: the probability of avoiding a Type II error ("false negative")	0.80 This is the research standard
Unit of Treatment Assignment	Individual
Predicted Mean of Outcome Variables: the estimated indicator value	0.60 We assume that 60% of the control group will have their children fully immunized with the vaccinations from birth to 8 weeks as laid out in the NDOH schedule by 8 weeks after birth.

DATA SOURCES & DATA MANAGEMENT

To measure the aforementioned indicators, IDinsight will obtain data from the following sources:

1. **MIS backend data** - the back-end of the WhatsApp Chat bot will provide details on how users engaged with the messages they received. Praekelt already collects this information and will provide relevant data to IDinsight. IDinsight will use this data to track outcomes including the responses users send to measure Operational and ToC level outcomes.
2. **Primary data** - IDinsight will design and administer surveys with pregnant women and mothers via WhatsApp, USSD, and Mobile Phone. Women will be given a small incentive in exchange for participating in the survey. These surveys will help measure primary and secondary outcome measures. There will be 3 rounds of primary data collection: baseline, midline, and endline. All baseline and midline surveys will be conducted via WhatsApp (USSD for SMS arm) and endline data will be collected via mobile phone surveys.

ANALYSIS FRAMEWORK

UNIT OF ANALYSIS

The unit of analysis is the individual, ie, the mom.

OUTCOME INDICATORS

The measurement tools differ for each survey round. This is because the midline survey is asked over WhatsApp/USSD *during a mom's pregnancy* whereas the endline survey is asked over mobile phone surveys *8 weeks post-delivery*. The tables below summarize the primary and secondary outcomes that will be analyzed for each round.

Primary Outcomes

MomConnect's theory of change posits that if pregnant women and mothers have access to content related to health guidelines, they will be equipped with knowledge about healthy pregnancy and postpartum behaviors, and this would translate to practicing these behaviors. The primary outcomes of interest are the number of child immunizations 6 weeks after birth, the number of ante-natal care visits a pregnant woman went to during her pregnancy, knowledge/attitudes towards health behaviors, and adoption of healthy behaviors. We calculate these outcomes using primary data from our midline and endline surveys.

For the number of immunizations, we ask mothers about the immunizations their baby received at birth (scheduled to be OPV0 and BCG) and six weeks after birth (scheduled to be OPV1, DTaP-IPV-Hib-HepB, RV, and PCV) during a phone survey taking place 8 weeks after birth. Similarly, surveyors will ask mothers about the number of antenatal care visits they went to at their clinic over the course of their pregnancy. We will analyze immunizations and ANC visits individually because these two outcomes have been identified as especially decision-relevant by MomConnect stakeholders. The knowledge/attitudes and behavior outcomes will be coded as two summative indices with equal weights given to the different topic areas of interest. The topic areas and questions within topic areas were determined together with Praekelt based on the content of MomConnect. This is done for two reasons: first, MomConnect content covers a variety of topic areas, and other than immunizations and ANC visits, no single topic was identified as more important or decision-relevant than others; second, compared to the alternative of analyzing each topic area separately, collapsing discrete topic areas into two indices reduces the risk of false positive results from testing a large number of hypotheses. The success of each treatment arm relative to control will therefore be based on demonstrating impact on administered child immunizations six weeks after birth, the number of ANC visits pregnant women went to during their pregnancy, mothers' knowledge/attitudes, and mothers' behavior.

In the two summative indices, each topic area is given an equal weight in the overall index, regardless of how many questions are included in each topic area. A score multiplier will ensure that each topic area is equally weighted. We chose to equally weigh each topic because all content areas are an equally important contributor to overall health. To ensure that each topic area has an equal weight, we do the following *for each outcome*:

- Count the number of topic areas (N)
- Count the total number of points that mothers could earn *within* each topic area (S)

- Sum the total number of points *across* all topic areas (T)
- Calculate the overall score per topic area, which is the sum of all points a mom received from survey response (A)

Each topic area score (A) will be multiplied by a multiplier:

$$A * \frac{T}{NS}$$

To calculate the overall score for the outcome, the above formula will apply to each topic area, and then scores will be summed across all topic areas. Therefore, the overall score for each outcome will be at maximum, T and regardless of how many questions are asked within each topic area, each topic will be given at maximum $\frac{T}{N}$ points. The overall score will represent knowledge/attitudes or behaviors of healthy behaviors overall (across all topics).

The table below summarizes the primary outcomes across midline and endline:

Table 5: Primary Outcomes

Outcome	Definition
Midline Outcomes	
Knowledge of/ Attitudes toward Healthy Behaviors (Max score = 11)	$((\text{Diet score} * \frac{11}{4}) + (\text{Pregnancy danger signs score} * \frac{11}{16}) + (\text{Breastfeeding score} * \frac{11}{12}) + (\text{Intention to vaccinate score} * \frac{11}{12}))$ <p>where:</p> <p>Diet score (0-1) = the sum of the following points:</p> <ul style="list-style-type: none"> • 1 point if mothers correctly identify all of the foods mentioned in MomConnect messages that are rich in iron <p>Pregnancy danger signs score (0-4) = the sum of the following points:</p> <ul style="list-style-type: none"> • 1 point if mothers recognize the symptoms of preeclampsia • 1 point if mothers recognize the symptoms of anemia • 1 point if mothers recognize that vaginal bleeding is a danger sign • 1 point if mothers know the healthy frequency of baby kicks <p>Breastfeeding score (0-3) = the sum of the following points:</p> <ul style="list-style-type: none"> • 1 point if mothers intend on breastfeeding their baby • 1 point if mothers intend on introducing breastmilk to baby within 1 hour of birth • 1 point if mothers intend to exclusively breastfeed for 6 months <p>Intention to vaccinate score (0-3) = the sum of the following points:</p> <ul style="list-style-type: none"> • 1 point if mothers agree or strongly agree that it is important to vaccinate her baby • 1 point if mothers agree or strongly agree that the benefits of vaccines outweigh the risks of her baby developing side effects from them • 1 point if mothers are likely or very likely to follow the recommended vaccine schedule

Adoption of healthy behaviors (Max score = 4)	(Diet score) where: Diet score (0-4) = the sum of the following points: <ul style="list-style-type: none"> • 1 point if mothers report eating fruits on a daily basis • 1 point if mothers report eating vegetables on a daily basis • 1 point if mothers report eating liver once or twice per month • 1 point if mothers report not drinking alcohol
Endline Outcomes	
Immunisations	Number of immunisations baby received at birth and 6 weeks after birth (continuous variable with range 0-6)
ANC Visits	Number of ANC visits mother attended at clinic during her pregnancy (continuous variable)
Knowledge of/ Attitudes toward Healthy Behaviors (Max score = 12)	$((\text{Baby danger signs score} * \frac{4}{7}) + (\text{Breastfeeding score} * \frac{4}{3}) + (\text{Anemia score} * \frac{4}{5}))$ where: Baby danger signs score (0-7) = the sum of the following points: <ul style="list-style-type: none"> • 1 point if mothers know that it is dangerous for babies to sleep on their tummies and with a blanket • 1 point if mothers identify that her baby having difficulty eating is a danger sign • 1 point if mothers identify that her baby having difficulty breathing is a danger sign • 1 point if mothers identify that her baby having a high fever is a danger sign • 1 point if mothers recognize that her baby not moving is a danger sign • 1 point if mothers recognize that her baby having a seizure is a danger sign • 1 point if mothers recognize that her baby having jaundice is a danger sign Breastfeeding score (0-3) = the sum of the following points: <ul style="list-style-type: none"> • 1 point if mothers intend on giving her baby only breastmilk for 6 months • 1 point if mothers only intend on introducing her baby to water and food after 6 months • 1 point if mothers know they can get pregnant even while breastfeeding Anemia score (0-5) = the sum of the following points: <ul style="list-style-type: none"> • 1 point if mothers correctly identify at least one correct (and no incorrect) way(s) to prevent anemia • 1 point if mothers correctly identify that cereals, nuts, or raisins are rich in iron (and they do not mention any food not rich in iron) eggs, meat, fish, and green vegetables are rich in iron • 1 point if mothers correctly identify that meat, fish, or liver are rich in iron (and they do not mention any food not rich in iron)

	<ul style="list-style-type: none"> 1 point if mothers correctly identify that green leafy vegetables or beetroot are rich in iron (and they do not mention any food not rich in iron) 1 point if mothers correctly identify that lentils or beans are rich in iron (and they do not mention any food not rich in iron)
Adoption of healthy behaviors (Max score = 16)	$((Diet\ score) + (Pregnancy\ preparedness\ score * \frac{4}{7}) + (Breastfeeding\ score * 2) + (Anemia\ treatment\ score))$ <p>where:</p> <p>Diet score (0-4) = the sum of the following points:</p> <ul style="list-style-type: none"> 1 point if mothers report eating fruits on a daily basis during pregnancy 1 point if mothers report eating vegetables on a daily basis during pregnancy 1 point if mothers report eating liver not more than twice a month during pregnancy 1 point if mothers report not drinking alcohol during pregnancy <p>Pregnancy preparedness (0-7) = the sum of the following points:</p> <ul style="list-style-type: none"> 1 point if mothers reported packing a pregnancy bag to be prepared for her delivery 1 point if mothers packed her maternity record in her pregnancy bag 1 point if mothers packed a towel, facecloth, or toiletries in her pregnancy bag 1 point if mothers packed baby wipes, nappies, or bum cream in her pregnancy bag 1 point if mothers packed clean clothes for herself in her pregnancy bag 1 point if mothers packed clean clothes for her baby in her pregnancy bag 1 point if mothers packed a blanket, hat, or socks in her pregnancy bag <p>Breastfeeding score (0-2) = the sum of the following points:</p> <ul style="list-style-type: none"> 1 point if mothers are currently only feeding her baby breastmilk 1 point if mothers attempted to breastfeed their baby within the first hour of birth <p>Anemia treatment score (0-4) = the sum of the following points:</p> <ul style="list-style-type: none"> 1 point if mothers were tested for anemia during her pregnancy 1 point if mothers possessed iron folic acid pills during her pregnancy 1 point if mothers consumed iron folic acid pills during her pregnancy 1 point if mothers consumed iron folic acid pills on a daily basis during her pregnancy

Secondary Outcomes

In order to answer the secondary research questions outlined in table 2, we will also analyze the following outcomes (which are based on a mixture of MIS data and user-experience data captured in primary endline surveys).

Table 6: Secondary Outcomes

Outcome	Definition
Both Midline & Endline Outcomes	
Survey enrollment	Indicator for whether a mother initiates surveys or feedback loops
Survey completion	Indicator for whether a mother completes the survey
Engagement	Total number of messages sent by mother
Rate of successful message delivery	Total number of messages successfully delivered / total number of message attempts planned
Rate of read messages	Total number of messages read by users / total number of messages delivered
Opt-out	Indicator for whether a mother opts-out of MomConnect
Conversation starter	Total number of conversation starters a mother reads
Clinic reminders	Total number of clinic reminders a mother receives
Keyword messages sent	Total number of keyword messages that a mother sends across her journey
Non-keyword messages sent	Total number of non-keyword messages mothers send
Endline Only Outcomes	
Internet usage	Indicator for whether a mother used the internet to find information on pregnancy, childbirth, and newborn care
Trustworthy score	Indicator for whether a mother rates MomConnect as a very or completely trustworthy as a source for information on pregnancy, childbirth, and newborn care
Trustworthy reasons	Indicator for whether a mother thinks MomConnect is a trustworthy source of information because of NDOH's push
	Indicator for whether a mother thinks MomConnect is a trustworthy source of information because of a doctor's recommendation
	Indicator for whether a mother thinks MomConnect is a trustworthy source of information because of the appointment reminders
	Indicator for whether a mother thinks MomConnect is a trustworthy source of information because of the responsiveness of the platform
	Indicator for whether a mother thinks MomConnect is a trustworthy source of information because of the level of detail in the content

	Indicator for whether a mother thinks MomConnect is a trustworthy source of information because it helped her have a safe pregnancy
Best message content	Indicator for whether a mothers selected various aspects of each message as the best part of the message
Message helpfulness	Indicator for whether a mother answered “somewhat helpful” or “very helpful” to each aspect of each message
Perceived support from MomConnect	Indicator for whether a mother answered “Very supported” or “somewhat supported” regarding the helpfulness of MomConnect messages

SPECIFICATION

The main analysis of the primary and secondary outcomes described above will be based on the “intent to treat” impact of the different MomConnect messaging arms. Because of stratified randomized assignment, we expect the only systematic difference between mothers in different study arms to be the type of messaging they receive. The “intent to treat” effect refers to the impact of signing up for MomConnect and being assigned to one of the treatment messaging arms on primary and secondary outcomes, relative to the status-quo arm. Each arm involves a different method of engaging with content about healthy pregnancies. Given the arbitrary nature of defining “treatment compliance” in this context (i.e. mode of engagement varies message to message) - our analysis will focus on estimating average treatment impact among those who signed up for and *were sent* the messages. The ITT estimate is the decision-relevant estimator as this provides a picture of the impact of introducing the program at scale. The analysis of primary and secondary outcomes will follow from the model specified below.

Primary Specification

For our primary research question, we will estimate the following regression specification in order to understand the impact of each treatment arm on the four primary outcomes (immunizations, ANC visits, knowledge/attitudes index, behavior index):

$$Y_{ist} = \alpha + \sum_j \beta_j T_{ijst} + \theta X_{ist} + \delta_s + \pi_t + \epsilon_{ist}$$

- Y_{ist} is the midline or endline primary outcome of mother i in stratum s interviewed in week t .
- T_{ijst} is the treatment indicator for mom i and treatment arm j in stratum s interviewed in week t . β_j is the impact of treatment arm j relative to the status quo model (control).
- X_{ist} is a vector of covariates. Potential covariates are demographic covariates (occupation, monthly income, ethnicity, total number of children, marital status, highest level of education), baseline outcomes (binaries for each knowledge and behavior question asked during the baseline survey as well as composite knowledge and behavior indices), and pairwise interactions between these variables. For each outcome variable, we will apply the

post-double-selection LASSO developed in Belloni et al. (2014) in order to select the covariates to include in the regression. We will always include stratum and time fixed effects and partial these out before applying the post-double-selection LASSO for covariate selection.

- δ_s is a vector of dummies corresponding with each strata (province by age-range category by weeks pregnant category at time of recruitment)
- π_t are week of the year fixed effects to control for time trends
- ϵ_{ist} is the individual level error term. We will estimate heteroskedasticity-robust standard errors using the Huber-White sandwich estimator.
-

We will also present the results from the following specification not using any covariates:

$$Y_{ist} = \alpha + \sum_j \beta_j T_{jist} + \delta_s + \pi_t + \epsilon_{ist}$$

In primary analysis, we will use the separate specification to test the differences in outcomes between the WhatsApp ARM control arm and each of the three other WhatsApp treatment arms (RCM, BCM, RCM + BCM) *individually*.

The main decision our results will inform is if the treatment arms improve knowledge and behavior outcomes and if so, *which* WhatsApp arm best improves outcomes. This will help inform how MomConnects WhatsApp service should scale. In exploratory analysis, we will also test the difference in outcomes between the SMS RCM arm and WhatsApp RCM arm. Given that the SMS arm is going to continue to exist in the status quo regardless of the results of the evaluation, this analysis is considered secondary

Subgroup analysis

We will also do some exploratory subgroup analysis based on the following characteristics:

1. **Age:** We might expect mothers who are above 35 (advanced maternal pregnancy) to act differently than mothers who are below 35 given the higher risks associated with pregnancies for mothers above 35.
2. **The number of children the mom has:** We might expect first-time mothers to rely more on MomConnect than incumbent mothers who could pull from previous experience.
3. **Education level:** Since education levels are associated with socioeconomic status, we might expect mothers with less formal education to have less access to knowledge and resources on how to adopt healthy behaviors
4. **Income quartile:** We might expect mothers from poorer households to have less access to knowledge and resources on how to adopt healthy behaviors.
5. **Province:** The health service infrastructure differs strongly across South African provinces. We will explore in which provinces MomConnect is particularly effective.

6. **Weeks pregnant at sign-up:** The earlier in their pregnancy mothers sign-up with MomConnect, the longer they are exposed to messaging. We would therefore expect mothers signing up earlier to see larger benefits. We will use the stratification bins for weeks pregnant for this analysis.

LIMITATIONS AND CORRECTIONS TO DATA

Attrition

Attrition due to opt outs

There is a possibility that mothers opt out of receiving MomConnect messages. Under South Africa's Protection of Personal Information (POPI) Act, if users want to opt out of messaging, they can choose to delete their information from MomConnect, including their contact information. This is a threat to internal validity if the mothers who drop out are different from the mothers who continue to receive messages in ways correlated to knowledge and adoption of healthy behaviors.

Based on previous data from on opt out rates for the status quo of MomConnect, this is a very low proportion of mothers. However, in order to further reduce the risk of attrition bias, Praekelt has introduced a question in the user flow for mothers to specify what they are opting out of. If they are opting out of messages, they can therefore still be contacted for our surveys.

Attrition due to non-response

Given that two survey rounds will be conducted via WhatsApp and one will be conducted over the phone, there is a high likelihood that some mothers will choose not to respond to some or all questions in the surveys. We expect a response rate of 25% for WhatsApp surveys and 60% for mobile phone surveys. Bias can occur if the mothers who stop responding to surveys differ meaningfully from those who do respond across treatment arms.

In order to maximize response rates, we will incentivize survey responses by offering airtime credits. We will also utilize different callback protocols during our endline phone survey to maximize response rates.

Checks for attrition

We will check for differential attrition to see if mothers who respond are different across messaging arms. In order to do this, we will regress a binary variable representing response on treatment status and use the same controls as defined in the main specification. We will also check if mothers who respond to our endline survey differ across baseline variables across treatment arms. We will also check for selective attrition to see if baseline characteristics differ across responders and non-responders in general. This will be informative about the generalizability of our results with respect to the whole user base of MomConnect.

Missing Values

Amongst mothers who do respond to our survey, some might respond "don't know" or "refuse." For knowledge based questions, we will treat "don't know" responses and refusals as incorrect answers as it is a fair assumption that mothers who skip responding to a quiz question are choosing to skip

because they do not know the correct answer. For behavioral questions, we will treat “don’t know” responses and refusals as missing given the likelihood that the mom might legitimately not recall doing a particular behavior.

Multiple Hypotheses Adjustments

The most relevant decision from this study to inform scale-up of the program will be informed by which WhatsApp treatment arm is best for key primary health outcomes. Given that there are 4 primary outcomes and 4 WhatsApp message arms, there will be 24 primary null hypotheses to test

- The difference in *outcome_i* between ARM and RCM is zero
- The difference in *outcome_i* between ARM and BCM is zero
- The difference in *outcome_i* between ARM and RCM + BCM is zero
- The difference in *outcome_i* between RCM and BCM is zero
- The difference in *outcome_i* between RCM and RCM + BCM is zero
- The difference in *outcome_i* between BCM and RCM + BCM is zero

where the subscript *i* captures the four outcomes: Immunizations, ANC visits, the knowledge/attitudes index, and the behavior index.

Given that there are multiple hypotheses to test, standard statistical significance levels ($\alpha = 0.05$) would likely result in finding significant outcomes by chance alone. Indeed, the probability of finding at least one false significant result (rejecting the null when it is true), would be $1 - (1 - 0.05)^{24} = \sim 71\%$.

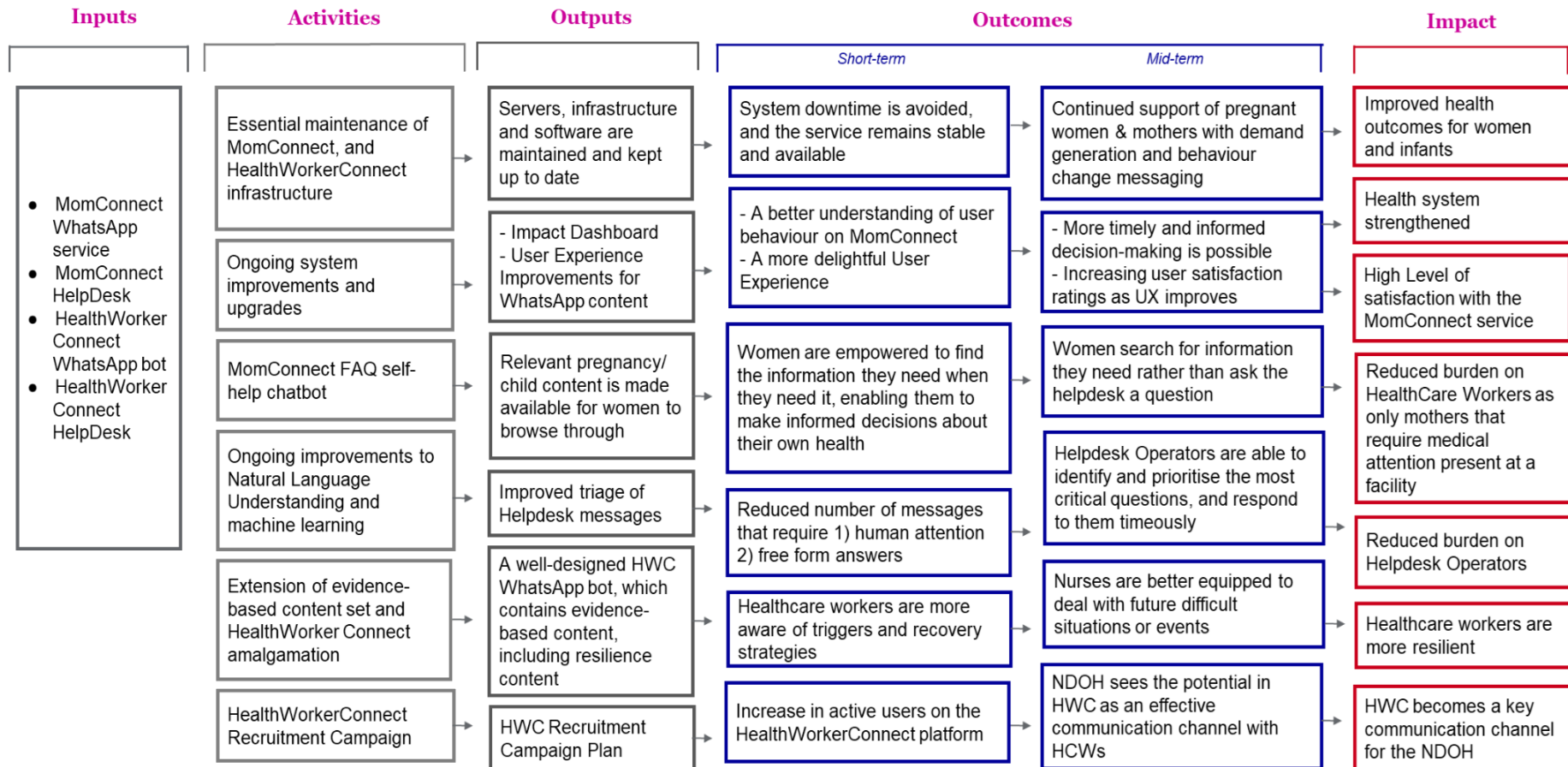
To correct for this, we will adjust for multiple hypotheses by applying a false discovery rate adjustment (FDR) following Benjamini et al. (2006). In particular, we will use the sharpened q-values discussed in Anderson (2008). This correction will control for the expected proportion of Type 1 errors. In our analysis, we report both corrected and uncorrected p-values.

These multiple hypothesis adjustments will focus on analysis of the four WhatsApp treatment arms and four primary outcomes specified given that these hypotheses affect potential scale-up decisions. Secondary outcomes will be exploratory. The analysis comparing the WhatsApp RCM arm to the SMS RCM arm will also be secondary given that the SMS arm will continue to exist regardless of results.

APPENDIX

Appendix A: MomConnect Logic Model

Figure 1: MomConnect Logic Model



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